PTO/SB/21 (09-04)

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| TRANSMITTAL FORM  (to be used for all correspondence after initial filing) |   | Application Number                 | 09/615,473      |  |
|--|---|------------------------------------|-----------------|--|
|  |   | Filing Date                        | 07/13/2000      |  |
|  |   | First Named Inventor               | Marcus Escobosa |  |
|  |   | Art Unit                           | 2635            |  |
|  |   | Examiner Name Shimizu, Matsuichiro |                 |  |
| Total Number of Pages in This Submission                                   | 4 | Attorney Docket Number             | 81230.56US1     |  |

| ENCLOSURES (Check all that apply)   |   |  |  |        |                       |   |
|---|---|--|--|--------|-----------------------|---|
| Fee Transmittal Fe  | orm   |  | Drawing(s)   | [      |                       | After Allowance Communication to TC   |
| Fee Attache   | ed  |  | Licensing-related Papers   |        |                       | Appeal Communication to Board of Appeals and Interferences                                |
| Amendment/Reply   | y   |  | Petition   | [      |                       | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)                            |
| After Final   |   |  | Petition to Convert to a<br>Provisional Application              |        |                       | Proprietary Information   |
| Affidavits/de   | eclaration(s)   |  | Power of Attorney, Revocation<br>Change of Correspondence Addres | ss     |                       | Status Letter Other Englesure(s) (please Identify   |
| Extension of Time   | Request   | Terminal Disclaimer Request for Refund |  |        |                       | Other Enclosure(s) (please Identify below): - Form PTO/SB/08a - copies of foreign patents |
| Express Abandon   | ment Request  |  |  |        |                       |   |
| Information Disclo  | sure Statement  |  | CD, Number of CD(s)  Landscape Table on CD                       |        |                       | - Check # <b>9559</b> for \$180.00 - return postcard                                      |
| Certified Copy of Priority Document(s)  |   |  | Remarks  |        |                       |   |
| Response to Miss Incomplete Applica   | ing Parts/<br>ation   |  |  |        |                       |   |
| l —   | to Missing Parts  |  |  |        |                       |   |
| under 37 CFF  | •   |  |  |        |                       |   |
|   |   |  |  |        |                       |   |
|   | SIGNATU   | JRE &                                  | F APPLICANT, ATTORNEY  | , OR A | AGE                   | NT  |
| Firm Name Customer No. 34018 Greenberg Traurig, LLP   |   |  |  |        |                       |   |
| Signature   |   |  |  |        |                       |   |
| Printed name Gary R. Jarosik  |   |  |  |        |                       |   |
| Date February 3, 2006   |   |  | Reg. No  | o. (   | 35,906                |   |
| CERTIFICATE OF TRANSMISSION/MAILING   |   |  |  |        |                       |   |
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| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |   |  |  |        |                       |   |
| Signature 7 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2   |   |  |  |        |                       |   |
| Typed or printed name   | Typed or printed name Vivian DelaRosa Date February 3, 2006 |  |  |        | Date February 3, 2006 |   |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## FEB 0 7 2006 PE Applica

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Escobosa et al.

Serial No.: 09/615,473 ) Examiner: Shimizu, Matsuichiro

Filed: 07/13/2000 ) Group Art Unit: 2635

Title: CUSTOMIZABLE AND ) Docket No.: 81230.56US1

UPGRADABLE DEVICES
AND METHODS RELATED

THERETO

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(c)(2)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, pursuant to 37 C.F.R. §1.56, the items of information listed on the enclosed Form PTO/SB/08a are brought to the attention of the Examiner.

This statement should be considered because it is submitted, pursuant to 37 C.F.R. §1.97(c) before the mailing date of a final action.

Enclosed is a check in the amount of \$180.00, which is the fee set forth in 37 CFR § 1.17(p). The Commissioner is authorized to charge any fee deficiency or credit overpayment to deposit account number 50-2428.

In accordance with 37 C.F.R. §1.98(2)(i), a copy of each U.S. and foreign patent listed on Form PTO/SB/08a is enclosed herewith.

Certificate of Mailing: The undersigned hereby certifies that this document and its enclosures are being deposited with the United States Postal Service as first class mail, postage prepaid in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 3<sup>rd</sup> day of February, 2006.

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180.00 OP

Name: Vivian DelaRosa

In accordance with 37 C.F.R. §1.104, no representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicant reserves the right, pursuant to 37 C.F.R. §1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicant does not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of MPEP 609, it is requested that the Examiner return a copy of the attached Form PTO/SB/08a, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

While it is not believed that any fee is due, the Commissioner is hereby authorized to charge any fee deficiency to deposit account number 50-2428 in the name of Greenberg Traurig.

Respectfully/submitted,

Date: February 3, 2006

Gary R. Jarosik Reg. No. 35,906

Greenberg Traurig, LLP

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 09/615,473 Filing Date 07/13/2000 First Named Inventor Marcus Escobosa Art Unit 2635 **Examiner Name** Shimizu, Matsuichiro

(Use as many sheets as necessary)

Attorney Docket Number 1 81230.56US2 Sheet

|                       |              |   | U. S. PATENT                   | DOCUMENTS  |   |
|-----------------------|--------------|---|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2 (if known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |              | us-6,195,589 B1   | 02-27-2001                     | Ketcham  |   |
|                       |              | US-   |                                |  |   |
|                       | <u> </u>     | US-   |                                |  |   |
|                       |              | US-   |                                |  |   |
| · · · · · · ·         |              | US-   |                                |  |   |
|                       |              | us-   |                                |  |   |

| FOREIGN PATENT DOCUMENTS |              |  |                                |  |   |        |
|--------------------------|--------------|--|--------------------------------|--|---|--------|
| Examiner Initials*       | Cite<br>No.1 | Foreign Patent Document  Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear |        |
| <u>-</u>                 |              | EP 1198069 A1  | 04-17-2002                     | Universal Electronics Inc.                         | or recevant rigures Appear  |        |
|                          |              | EP 1204275 A2  | 05-08-2002                     | Nortel Networks Ltd.                               |   |        |
|                          |              | WO 01/39150 A2   | 05-31-2001                     | Koninklijke Philips<br>Electronics N.V.            |   |        |
|                          |              | WO 01/69567 A2   | 09-20-2001                     | Harris et al.                                      |   |        |
|                          |              | WO 01/20572 A1   | 03-22-2001                     | Koninklijke Phillips                               |   | $\Box$ |

| Examiner<br>Signature | Date     |         |
|-----------------------|----------|---------|
| Signature             | <br>Cons | sidered |

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